

Form PTO-1449 (modified)

Atty. Docket No.
10830.0095.NPUS00Serial No.
10/603,411

List of Patents and Publications for Applicant's
 INFORMATION DISCLOSURE STATEMENT
 (Use several sheets if necessary)

Applicant

Philippe Armangau, et al.

Filing Date:
June 25, 2003Group:
2176

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
O-0	A1	US 2004/0030951 A1	02/12/2004	Armangau et al.	714	6	8/6/2002
O-0	A2	US 2004/0030846 A1	02/12/2004	Armangau et al.	711	154	8/6/2002
O-0	A3	US 2004/0030727 A1	02/12/2004	Armangau et al.	707	200	8/6/2002
O-0	A4	US 2003/0217119 A1	11/20/2003	Raman et al.	709	219	5/16/2002
O-0	A5	6,549,992	4/15/2003	Armangau et al.	711	162	12/2/1999
O-0	A6	5,870,764	02-1999	Lo et al.	707	203	03/12/1993
O-0	A7	6,029,175	02-2000	Chow et al.	707	104	06/07/1996
O-0	A8	5,873,116	02-1999	Van Fleet	711	147	11/22/1996
O-0	A9	6,052,797	04-2000	Ofek et al.	714	6	08/20/1998
O-0	A10	6,496,908 B1	12-2002	Kamvysselis et al	711	162	05/18/2001
O-0	A11	6,502,205 B1	12-2002	Yanai et al.	714	7	11/10/2000

Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
O-0	C1	—

EXAMINER:

Slabinski

DATE CONSIDERED:

12/13/05

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)		Atty. Docket No. 10830.0095.NPUS00	Serial No. 10603411
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant Armangau, et al..	
		Filing Date: 06/25/03	Group:

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
0-0	A1	6,434,681	08/13/2002	Armangau	711	162	12/02/1999
0-0	A2	6,353,878	03/05/2002	Dunham	711	162	08/13/1998
0-0	A3	6,101,497	08/08/2000	Ofek	707	10	04/25/1997
0-0	A4	6,076,148	06/13/2000	Kedem	711	162	12/26/1997
0-0	A5	6,035,412	03/07/2000	Tamer et al.	714	6	03/19/1997
0-0	A6	5,974,563	10/26/1999	Beeler, Jr.	714	5	10/02/1998
0-0	A7	5,901,327	05/04/1999	Ofek	395	825	03/17/1997
0-0	A8	5,893,140	04/06/1999	Vahalia et al.	711	118	11/13/1996
0-0	A9	5,835,953	11/10/1998	Ohran	711	162	11/08/1996
0-0	A10	5,615,329	03/25/1997	Kern et al.	395	182.04	02/22/1994
0-0	A11	5,596,706	01/21/1997	Shimazaki et al.	395	182.04	08/10/1994
0-0	A12	5,434,994	07/18/1995	Shaheen et al.	395	500	05/23/1994

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
0-0 ✓	B1	0 674 263 A1	09/27/95	EP	G06F	11/20	
0-0 ✓	B2	0 672 985 A1	09/20/95	EP	G06F	11/14	
0-0	B3						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
0-0 ✓	C1	Uresh Vahalia, <u>UNIX Internals: The new frontiers</u> , Chapter 9, "File System Implementations," Prentice-Hall, Inc., Upper Saddle River, New Jersey, 1996, pp. 261-289.

EXAMINER: *Dubois*DATE CONSIDERED: *12/13/05*

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)	Atty. Docket No. 10830.0095.NPUS00	Serial No. 10603411
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Armangau, et al..	
	Filing Date: 06/25/03	Group:

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
0-0 ✓	C2	D.L. Burkes and R.K. Treiber, "Design Approaches for Real-Time Transaction Processing Remote Site Recovery," Digest of Papers in Spring COMPCON90, Feb. 26-March 2, 1990, <i>Thirty-Fifth IEEE Computer Society International Conference</i> , San Francisco, CA, pp. 568-572.
0-2 ✓	C3	"Network Data Management Protocol (NDMP)," http://www.ndmp.org/info/ ; NDMP White Paper, http://www.ndmp.org/info/technology/wp.html ; "Protocol Specification Summary, Document Version: 1.7.2S," http://www.ndmp.org/info/spec_summary.html ; "Legato Systems Embraces the NDMP Standard: Legato Networker Software to be NDMP Compliant in Q3," http://www.ftp.legata.com/News/Press/PR209.html ; published at least as early as 10/11/99, 17 pages.
6-2 ✓	C4	R. Stager and D. Hitz, Internet Draft filename "draft-stager-iqard-netapp-backup-05.txt," <i>Network Data Management Protocol (NDMP)</i> , last update 10/12/99, pp. 1-73.
0-2 ✓	C5	Koop, P., "Replication at Work. (four companies use Oracle and Sybase replication servers to solve business problems)," DBMS, vol. 8, No. 3, p. 54(4), March 1995.
0-2 ✓	C6	Remote Mirroring Technical White Paper, Copyright 1994-2002 Sun Microsystems, published at least as early as May 17, 2002 at sun.com, 25 pages.
0-2 ✓	C7	EMC TechNote: Celerra Replicator, EMC Corporation, Hopkinton, MA, Oct. 2002 (5 pages).
0-2 ✓	C8	EMC TimeFinder Product Description Guide, EMC Corporation, Hopkinton, MA, 1998, pp. 1-31.
0-2 ✓	C9	Leveraging SnapView/IP in Oracle8i Environments with the CLARiiON IP4700 File Server, Engineering White Paper, EMC Corporation, Hopkinton, MA, Feb. 13, 2002, pp. 1-16.
0-2 ✓	C10	Using EMC CLARiiON FC4700 and SnapView with Oracle 8i, Engineering White Paper, EMC Corporation, Hopkinton, MA, March 4, 2002, pp. 1-22.
0-2 ✓	C11	Disaster Recovery Guidelines for using HP SureStore E XP256, Continuous Access XP with Oracle Databases Rev 1.03, Hewlett-Packard Company, Palo Alto, CA, May 2000, pp. 1-28.
0-2 ✓	C12	Enterprise Volume Manager and Oracle8 Best Practices, Compaq White Paper, Compaq Computer Corporation, Dec. 1999, pp. 1-11.
0-2 ✓	C13	VERITAS Database Edition for Oracle, Guidelines for Using Storage Checkpoint and Storage Rollback with Oracle Databases, Veritas Software Corporation, Mountain View, CA, August 2001, pp. 1-16.
0-2 ✓	C14	VERITAS Volume Replication and Oracle Databases, A Solutions White Paper, Veritas Software Corporation, Mountain View, CA, May 29, 2000, pp. 1-31.

EXAMINER:

Chabessa

DATE CONSIDERED:

01/13/05

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)	Atty. Docket No. 10830.0095.NPUS00	Serial No. <i>106534M</i>
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Armangau, et al..	
	Filing Date: <i>06/25/03</i>	Group:

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
0-2	C15	Nabil Osorio and Bill Lee, Guidelines for Using Snapshot Storage Systems for Oracle Databases, Oracle Corporation, Oct. 2001, pp. 12.

EXAMINER: <i>Olsusola</i>	DATE CONSIDERED: <i>6/13/05</i>
---------------------------	---------------------------------

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)